

3763

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PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                |
|------------------------|----------------|
| Application Number     | 09/314,052     |
| Filing Date            | 05/18/1999     |
| First Named Inventor   | Douglas E. Ott |
| Group Art Unit         | 3763           |
| Examiner Name          | M. Thompson    |
| Attorney Docket Number | 1-15372        |

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Total Number of Pages in This Submission 22

### ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment / Response                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request                | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):       |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | Self-addressed/stamped return post card  |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Small Entity Statement   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

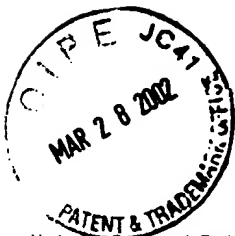
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |  |
|-------------------------|--|
| Firm or Individual name | D. Edward Dolgorukov<br>MARSHALL & MELHORN, LLC<br>Four SeaGate, 8th Floor, Toledo, OH 43604 |
| Signature               |  |
| Date                    | 03-08-2002   |

### CERTIFICATE OF MAILING

|   |                 |
|---|-----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 03-08-2002 |                 |
| Typed or printed name   | N.L. Methena    |
| Signature   | Date 03-08-2002 |

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PTO/SB/17 (10-01)  
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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)**200.00**

## Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   | 09/314,052     |
| Filing Date          | 05/18/1999     |
| First Named Inventor | Douglas E. Ott |
| Examiner Name        | M. Thompson    |
| Group Art Unit       | 3763           |
| Attorney Docket No.  | 1-15372        |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **13/1816**  
Deposit Account Name: **MARSHALL & MELHORN, LLC**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        |
|----------|----------|----------|----------|------------------------|
| 101      | 740      | 201      | 370      | Utility filing fee     |
| 106      | 330      | 206      | 165      | Design filing fee      |
| 107      | 510      | 207      | 255      | Plant filing fee       |
| 108      | 740      | 208      | 370      | Reissue filing fee     |
| 114      | 160      | 214      | 80       | Provisional filing fee |

Fee Paid

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims:  -20\*\* =  X  =   
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  =

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                     |
| 102      | 84       | 202      | 42       | Independent claims in excess of 3                          |
| 104      | 280      | 204      | 140      | Multiple dependent claim, if not paid                      |
| 109      | 84       | 209      | 42       | ** Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Fee Code | Large Entity (\$) | Small Entity (\$) | Fee Description | Fee Paid   |        |
|----------|-------------------|-------------------|-----------------|--|--------|
| 105      | 130               | 205               | 65              | Surcharge - late filing fee or oath  |        |
| 127      | 50                | 227               | 25              | Surcharge - late provisional filing fee or cover sheet                     |        |
| 139      | 130               | 139               | 130             | Non-English specification  |        |
| 147      | 2,520             | 147               | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |        |
| 112      | 920*              | 112               | 920*            | Requesting publication of SIR prior to Examiner action                     |        |
| 113      | 1,840*            | 113               | 1,840*          | Requesting publication of SIR after Examiner action                        |        |
| 115      | 110               | 215               | 55              | Extension for reply within first month                                     | 200.00 |
| 116      | 400               | 216               | 200             | Extension for reply within second month                                    |        |
| 117      | 920               | 217               | 460             | Extension for reply within third month                                     |        |
| 118      | 1,440             | 218               | 720             | Extension for reply within fourth month                                    |        |
| 128      | 1,960             | 228               | 980             | Extension for reply within fifth month                                     |        |
| 119      | 320               | 219               | 160             | Notice of Appeal   |        |
| 120      | 320               | 220               | 160             | Filing a brief in support of an appeal                                     |        |
| 121      | 280               | 221               | 140             | Request for oral hearing   |        |
| 138      | 1,510             | 138               | 1,510           | Petition to institute a public use proceeding                              |        |
| 140      | 110               | 240               | 55              | Petition to revive - unavoidable   |        |
| 141      | 1,280             | 241               | 640             | Petition to revive - unintentional   |        |
| 142      | 1,280             | 242               | 640             | Utility issue fee (or reissue)   |        |
| 143      | 460               | 243               | 230             | Design issue fee   |        |
| 144      | 620               | 244               | 310             | Plant issue fee  |        |
| 122      | 130               | 122               | 130             | Petitions to the Commissioner  |        |
| 123      | 50                | 123               | 50              | Processing fee under 37 CFR 1.17(q)  |        |
| 126      | 180               | 126               | 180             | Submission of Information Disclosure Stmt                                  |        |
| 581      | 40                | 581               | 40              | Recording each patent assignment per property (times number of properties) |        |
| 146      | 740               | 246               | 370             | Filing a submission after final rejection (37 CFR § 1.129(a))              |        |
| 149      | 740               | 249               | 370             | For each additional invention to be examined (37 CFR § 1.129(b))           |        |
| 179      | 740               | 279               | 370             | Request for Continued Examination (RCE)                                    |        |
| 169      | 900               | 169               | 900             | Request for expedited examination of a design application                  |        |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**200.00**

## SUBMITTED BY

|                   |                             |                                   |            |           |              |
|-------------------|-----------------------------|-----------------------------------|------------|-----------|--------------|
| Name (Print/Type) | D. Edward Dolgorukov        | Registration No. (Attorney/Agent) | 26,266     | Telephone | 419-249-7146 |
| Signature         | <i>D. Edward Dolgorukov</i> | Date                              | 03/08/2002 |           |              |

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